

UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 11, 2024

The Honorable Patty Murray  
President Pro Tempore  
Chair, Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Kay Granger  
Chair  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

Dear Chair Murray and Chair Granger:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) Fiscal Year 2025 Congressional Budget Justification and Annual Performance Plan and Fiscal Year 2023 Annual Performance Report. OGE's Congressional Budget Justification reflects funding of \$22,386,000 and 78 full-time equivalents, as set forth in the President's fiscal year 2025 budget, to support our important mission of preventing conflicts of interest in the executive branch.

If you require additional information or have questions regarding these materials, please contact OGE's Legal, External Affairs and Performance Branch Chief, Diana J. Veilleux, at 202-482-9203.

Sincerely,

A handwritten signature in black ink that reads "Shelley K. Finlayson". The signature is written in a cursive, flowing style.

Shelley K. Finlayson  
Acting Director

Attachment

cc: The Honorable Susan Collins  
Vice Chair  
Committee on Appropriations  
United States Senate  
Washington, DC 20510



The Honorable Rosa DeLauro  
Ranking Member  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable Chris Van Hollen  
Chair  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Bill Hagerty  
Ranking Member  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Gary Peters  
Chairman  
Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510

The Honorable Rand Paul  
Ranking Member  
Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510

The Honorable Steve Womack  
Chair  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable Steny Hoyer  
Ranking Member  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable James Comer  
Chairman  
Committee on Oversight and Accountability  
United States House of Representatives  
Washington, DC 20515

The Honorable Jamie Raskin  
Ranking Member  
Committee on Oversight and Accountability  
United States House of Representatives  
Washington, DC 20515

The Honorable Jim Jordan  
Chairman  
Committee on the Judiciary  
United States House of Representatives  
Washington, DC 20515

The Honorable Jerrold Nadler  
Ranking Member  
Committee on the Judiciary  
United States House of Representatives  
Washington, DC 20515

**From:** [Brenner, Claudine \(HSGAC\)](#)  
**To:** [Shelley K. Finlayson](#); [Grant Anderson](#)  
**Cc:** [Diana Veilleux](#)  
**Subject:** Prehearing Questionnaire and Nomination Schedule Updates  
**Date:** Friday, March 22, 2024 3:28:29 PM  
**Attachments:** [OGE\\_HSGAC\\_Joint\\_Pre-Hearing\\_Questionnaire\\_FINAL.docx](#)

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Good Afternoon!

I finally have some long-overdue updates to share on moving Mr. Huitema's nomination forward.

First, please see HSGAC's final prehearing questionnaire attached here. There are a few additional questions since the earlier version I shared, and I'll note there has been a small change to Q-51 (which was in the earlier set).

We would like Mr. Huitema to appear at a nomination hearing during the week of April 15-19. Unfortunately, I don't have an exact date just yet since the Committee is still working to lock in a couple of other hearings (incl. negotiating scheduling with the (b) (6) ), which could be that week. Would it be possible for Mr. Huitema to keep the **mornings of Tues 4/16, Weds 4/17, and Thurs 4/18** open at this time?

For planning purposes, I'd say we're planning on Weds 4/17 at 10am, but there's a chance it could move one day in either direction. We should know for sure by the end of next week.

As for the staff interview, we'd like to hold it in-person on one of the following dates/times:

- Weds. 4/10, 2pm-3pm
- Thurs. 4/11, 11am-12pm
- Thurs. 4/11, 1pm-2pm
- Fri. 4/12, 12pm-1pm
- Fri. 4/12, 2pm-3pm

Please let me know if Mr. Huitema has any conflicts or preferences among those windows.

Thank you again for your patience, and please pass along my appreciation to Mr. Huitema for bearing with us.

Have a great weekend,  
Claudine

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**Claudine J. Brenner**  
Senior Counsel  
U.S. Senate Homeland Security & Governmental Affairs Committee  
Chairman Gary C. Peters

(b)(6)

**U.S. Senate Committee on Homeland Security and Governmental Affairs**  
**Pre-hearing Questionnaire**  
**For the Nomination of David Huitema**  
**to be Director, Office of Government Ethics**

**I. Nomination Process and Conflicts of Interest**

1. Did the President or anyone else give you specific reasons why the President nominated you to be the next Director of the Office of Government Ethics (OGE)?
2. Were any conditions, expressed or implied, attached to your nomination? If so, please explain.
3. Have you made any commitments with respect to the policies and principles you will attempt to implement as OGE Director? If so, what are they, and to whom were the commitments made?
4. Are you aware of any business relationship, dealing, or financial transaction that could result in a possible conflict of interest for you or the appearance of a conflict of interest? If so, please explain what procedures you will use to recuse yourself or otherwise address the conflict. And if you will recuse yourself, explain how you will ensure your responsibilities are not affected by your recusal.
5. Have you or any organization of which you were an officer, director or owner, or have or had a significant financial interest in been the recipient of any Federal grants, loans, or other financial assistance (such as, but not limited to, Paycheck Protection Program Loans, Economic Injury Disaster Loans, Restaurant Revitalization Grants, etc.) within the past 10 years. If so, please list the program, amount, and date of receipt.
6. Please provide the name of any individual, law firm, consulting firm, lobbying firm, public relations firm, or other entity you have formally retained, contracted, or consulted with regarding this nomination, including any amounts paid in fees or otherwise.

**II. Background of the Nominee**

7. Why do you want to serve as OGE Director?
8. What specific background, experience, and attributes qualify you to be OGE Director?
9. Please describe:
  - a. Your leadership and management style.
  - b. Your experience managing personnel.
  - c. What is the largest number of people who have worked under your supervision?

10. What would you consider your greatest successes as a leader?
11. What would you consider your greatest failure as a leader? What lessons did you take away from that experience?
12. Please give examples of times in your career when you disagreed with your superiors and advocated your position. Were you ever successful?
13. Do you seek out dissenting views and encourage constructive critical dialogue with subordinates? Please provide examples of times in your career when you have done so.
14. Please list and describe examples of when you made politically difficult choices that you thought were in the best interest of the country or your organization.
15. Please describe how you build credibility and trust among staff as a leader.
16. During your career, has your conduct as a government employee ever been subject to an investigation or audit by the Office of Special Counsel, Department of Justice, agency Equal Opportunity office or investigator, agency Inspector General, or any other similar federal, state, or local investigative entity? If so, please describe the nature of the allegations/conduct and the outcome(s) of the investigation(s) or audit(s).
17. In your role as the Assistant Legal Adviser for Ethics & Financial Disclosures, are you aware of any employee, special government employee, or advisor who has received royalty payments, who then ~~have~~has advocated on behalf of entities who are paying for those royalty rights?

### **III. Role of the OGE Director**

18. Please describe your view of the core mission of the Office of Government Ethics and the Director's role in achieving that mission.
19. Please describe how you believe the OGE Director should interact with the Inspector General Community.
20. Please describe how you believe OGE should interact with Designated Agency Ethics Officials (DAEOs).

### **IV. Policy Questions**

#### *Management*

21. What do you believe are the highest priority challenges facing OGE?
  - a. What steps do you plan to take to address those challenges?

- b. If confirmed, what longer-term goals would you like to achieve as Director?
- 22. What measurements would you use to determine whether your office is successful?
- 23. What do you consider to be the principal challenges facing management at OGE?
- 24. Do you consider OGE to be adequately resourced and staffed to fulfill its mission? If not, how would you ensure OGE has the necessary resources?

### *Independence*

- 25. If confirmed as OGE Director, how do you plan on ensuring the independence and impartiality of your office?
- 26. Will you commit to taking action when necessary to hold senior officials in this or any future administration accountable?
- 27. If confirmed, what steps would you take if the administration fails to take action against an appointee, Cabinet official, or agency head who has clearly violated the conflict of interest laws, the Ethics in Government Act, or the Standards of Conduct?
- 28. If confirmed, how would you handle disagreement between OGE and the Office of White House Counsel regarding the interpretation or application of ethics laws and rules?

### *Executive Branch Ethics Compliance*

- 29. What is your philosophy on OGE's role in deterring ethical misconduct by federal employees?
- 30. If confirmed, what proactive steps will you take to ensure compliance with executive branch ethics laws and rules?
- 31. What in your view is the role of the OGE Director in gaining the President's support for the work of OGE, implementing OGE's recommendations, and ensuring compliance with executive branch ethics laws and rules?
- 32. What in your view is the role of an agency head in supporting the work of OGE, implementing OGE's recommendations, and ensuring compliance with executive branch ethics laws and rules?
- 33. As you are likely aware, HSGAC recently passed Ranking Member Paul's Royalty Transparency Act. If confirmed, how would you plan to enact that legislation if it becomes law?

34. Do you believe royalty payments that go untracked create a risk of bias in federal employee and special government employees' decision making?
35. What role do you believe OGE should play in monitoring confidential ethics reports, which are largely managed by each agencies' ethics officials?

### *Transparency & Outreach*

36. Please describe your view on the importance of transparency to the executive branch ethics program.
37. If confirmed, what steps will you take to increase transparency of the executive branch ethics program?
38. If confirmed, how will you maintain or improve OGE's public engagement efforts?

## **V. Accountability**

39. Protecting whistleblowers and their confidentiality is of the utmost importance to this Committee.
  - a. How do you plan to implement policies within OGE to encourage employees to bring constructive suggestions forward without the fear of reprisal?
  - b. Do you commit without reservation to work to ensure that any whistleblower within OGE does not face retaliation?
  - c. If confirmed, what steps will you take to ensure that whistleblowers at OGE do not face retaliation, that whistleblower identifiers are protected, and that complaints of retaliation are handled appropriately?
40. Inspectors General (IGs) face unique obstacles as they do their work, including budget challenges and disputes with agency heads over access to information. How do you view OGE's relationship with various Offices of Inspectors General (OIGs)?
41. Under what circumstances, if any, do you believe OGE would not be required to provide any OIG with timely access to agency records?
42. If confirmed, do you commit to fully cooperate in a timely manner with any audits, investigations, and other reviews and related requests for information from IGs?
43. If confirmed, do you commit without reservation to ensuring GAO receives timely, comprehensive responses to requests for information, including for records, meetings, and information?



44. If confirmed, do you commit to fully cooperate in a timely manner with any audits, investigations, and other reviews and related requests for information from GAO?
45. If confirmed, what steps will you take to ensure OGE and its employees cooperate fully and promptly with GAO requests?

## **VI. Relations with Congress**

46. Do you agree without reservation to comply with any request or summons to appear and testify before any duly constituted committee of Congress if you are confirmed?
47. Do you agree without reservation to make any subordinate official or employee available to appear and testify before, or provide information to, any duly constituted committee of Congress if you are confirmed?
48. Do you agree without reservation to comply fully, completely, and promptly to any request for documents, communications, or any other agency material or information from any duly constituted committee of the Congress if you are confirmed?
49. If confirmed, how will you make certain that you will respond in a timely manner to Member requests for information?
50. If confirmed, will you direct your staff to adopt a presumption of openness where practical, including identifying documents that can and should be proactively released to the public, without requiring a Freedom of Information Act request?
51. If confirmed, will you keep this Committee apprised of new information if it materially impacts the accuracy of information your agency's officials have provided us? Further, what would you consider a "material impact" requiring this notification?

## **VII. Assistance**

52. Are these answers completely your own? If not, who has provided you with assistance?
53. Have you consulted with the Office Government Ethics or any other interested parties? If so, please indicate which entities.

I, \_\_\_\_\_, hereby state that I have read the foregoing Pre-Hearing Questionnaire and that the information provided therein is, to the best of my knowledge, current, accurate, and complete.

\_\_\_\_\_  
(Signature)

This \_\_\_\_ day of \_\_\_\_\_, 2024

**From:** [Shelley K. Finlayson](#)  
**To:** "[Nassif, Marybeth](#)"  
**Subject:** RE: Greetings  
**Date:** Monday, March 11, 2024 3:53:30 PM

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Hi Marybeth –

Thanks so much for dropping a note to let us know.

We've really enjoyed working with you and will miss working with you directly this cycle.

All the best,

Shelley

---

**From:** Nassif, Marybeth <(b)(6)>  
**Sent:** Monday, March 11, 2024 2:30 PM  
**To:** Shelley K. Finlayson <skfinlay@oge.gov>  
**Subject:** Greetings

**CAUTION:** This email originated from outside OGE. Use caution before clicking on links, opening attachments, or responding. If you believe this email is suspicious, please forward it to [spam@oge.gov](mailto:spam@oge.gov) for additional analysis.

Wanted to let you know that my colleague, Nick, will be the analyst for the FY 25 cycle. We had some shuffling of accounts. I'm still on the subcommittee but have some different accounts in FY 25.

All the best and I enjoyed working with you.

**Marybeth Nassif**

Subcommittee on Financial Services and General Government

Committee on Appropriations

2000 Rayburn

(b)(6)

**From:** [Deborah J. Bortot](#)  
**To:** [Shelley K. Finlayson](#); [David J. Apol](#); [Diana Veilleux](#); [Tracy Hurston](#)  
**Cc:** [Heather A. Jones](#)  
**Subject:** FW: MOA - [Contains CUI]  
**Date:** Friday, March 1, 2024 5:32:58 PM

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All,

Please see Paige's question below. Please advise on how I should respond.

Thanks,  
Deb

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**From:** Chabora, Paige E. EOP/WHO <(b)(6) Chabora email >  
**Sent:** Friday, March 1, 2024 4:58 PM  
**To:** Deborah J. Bortot <djbortot@oge.gov>  
**Cc:** Heather A. Jones <hajones@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>; Chabora, Paige E. EOP/WHO <(b)(6) Chabora email >  
**Subject:** RE: MOA - [Contains CUI]

**CAUTION:** This email originated from outside OGE. Use caution before clicking on links, opening attachments, or responding. If you believe this email is suspicious, please forward it to [spam@oge.gov](mailto:spam@oge.gov) for additional analysis.

Hi Deb,

Thank you for this. I've been researching how the ethics review was done for the other regional commissions, as we learned that the WHCO did not conduct the ethics review and conflict of interest analysis. In the case of the Southeast Crescent Regional Commission, the ethics office for USDA handled the ethics review. In the case of the Southwest Border Regional Commission, the Appalachian Commission handled it. (b) (5)

(b) (5) During our call, one of you (I think Heather?) suggested the (b) (5), so I will very likely start there.

So, this leads me to two questions related to the MOA.

1. Below, Tracy notes that this is an MOA that that WH DAEO/ADAEO would be required to sign. Is that the case if the WHCO is not the office conducting the review? Or would it be signed by the agency that agrees to take on the review?
2. Did the USDA and the Appalachian Commission complete this MOA when they agreed to take on the conflicts reviews, as discussed above?

Thanks,  
Paige

---

**From:** Deborah J. Bortot <[djbortot@oge.gov](mailto:djbortot@oge.gov)>

**Sent:** Friday, March 1, 2024 4:24 PM

**To:** Chabora, Paige E. EOP/WHO <(b)(6) Chabora email>

**Cc:** Heather A. Jones <[hajones@oge.gov](mailto:hajones@oge.gov)>; Teresa L. Williamson <[twillia@oge.gov](mailto:twillia@oge.gov)>

**Subject:** MOA - [Contains CUI]

CONTROLLED

Hi Paige,

Please see Tracy's email below attaching the MOA that will be required if the WH or another agency is going to agree to service the new agency until it is established.

Thanks,

Deb

Deborah J. Bortot  
Chief, Presidential Nominations Branch  
U.S. Office of Government Ethics  
250 E Street, SW, Suite 750  
Washington, DC 20024  
Telephone: (202) 482-9227

---

**From:** Tracy Hurston <[thurston@oge.gov](mailto:thurston@oge.gov)>

**Sent:** Friday, March 1, 2024 3:33 PM

**To:** Deborah J. Bortot <[djbortot@oge.gov](mailto:djbortot@oge.gov)>

**Cc:** Diana Veilleux <[djveille@oge.gov](mailto:djveille@oge.gov)>; Rolando DeLeon <[rdeleon@oge.gov](mailto:rdeleon@oge.gov)>; Tracy Hurston <[thurston@oge.gov](mailto:thurston@oge.gov)>

**Subject:** MOA for PPO

Deb,

Attached is the MOA that the WH DAEO/ADAEO will be required to sign in order to set up an agency in Integrity for an agency that is not yet established (doesn't have a minimum of two employees). If they choose to setup the agency, they can email me, cc Roland, to assist with getting the MOA put in place.

Thank you,

Tracy Hurston  
*Integrity* Manager  
Program Counsel Division  
Legal, External Affairs and Performance Branch  
Office of Government Ethics  
1201 New York Avenue, NW, Suite 500

Washington, DC 20005

[INTEGRITY USER RESOURCES](#)

OGE's website: [www.oge.gov](http://www.oge.gov)

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**From:** [Shelley K. Finlayson](#)  
**To:** [Nicole Stein](#)  
**Subject:** FW: ATDC: OGE input for the 6-month report to congress  
**Date:** Thursday, March 7, 2024 10:59:09 AM  
**Attachments:** [OGE - 2024 6-month report input.docx](#)  
[NARA - 2024 6-month report input.docx](#)

Attachments referred to  
GSA

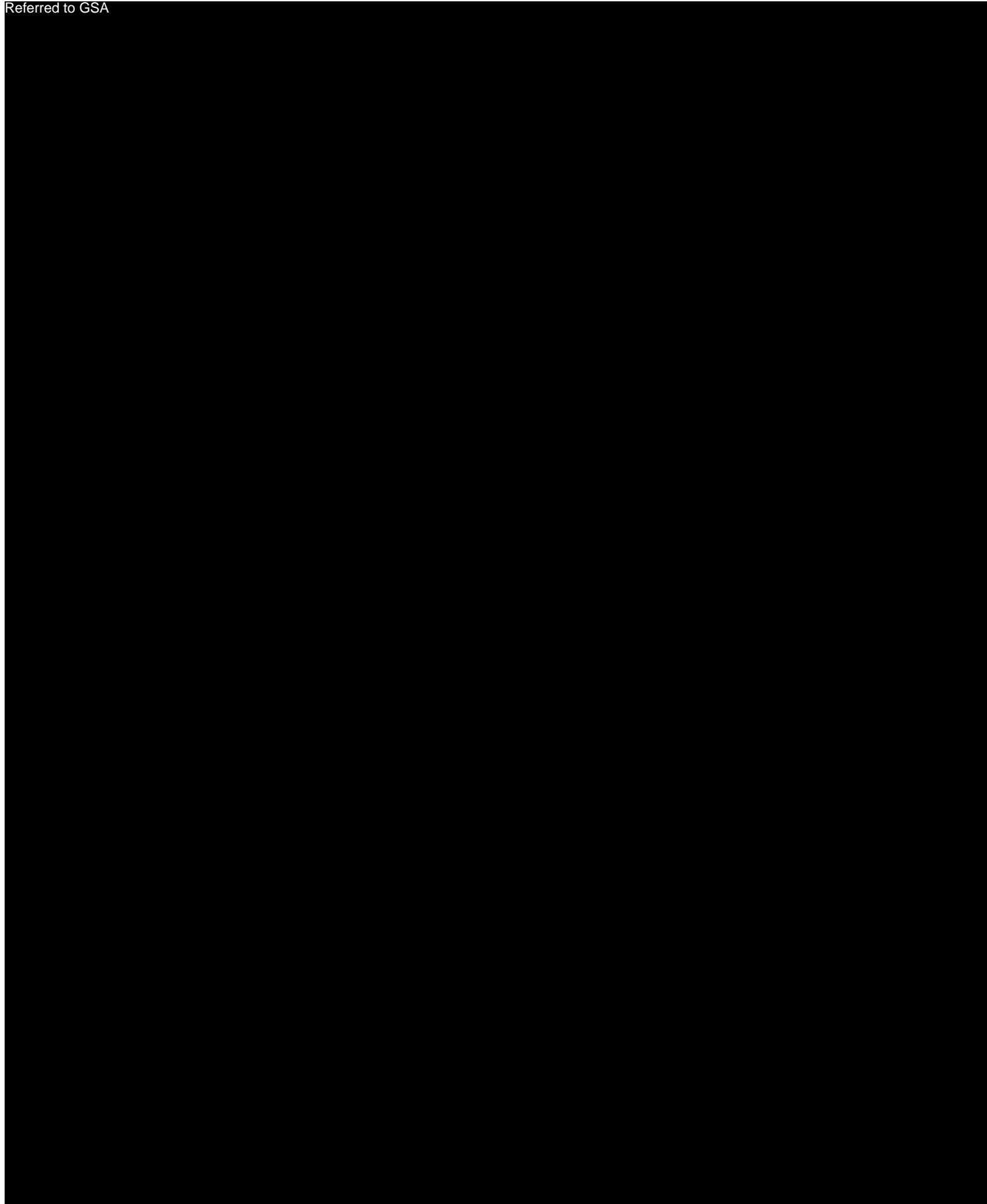
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Let's add this to our meeting later today

Referred to GSA

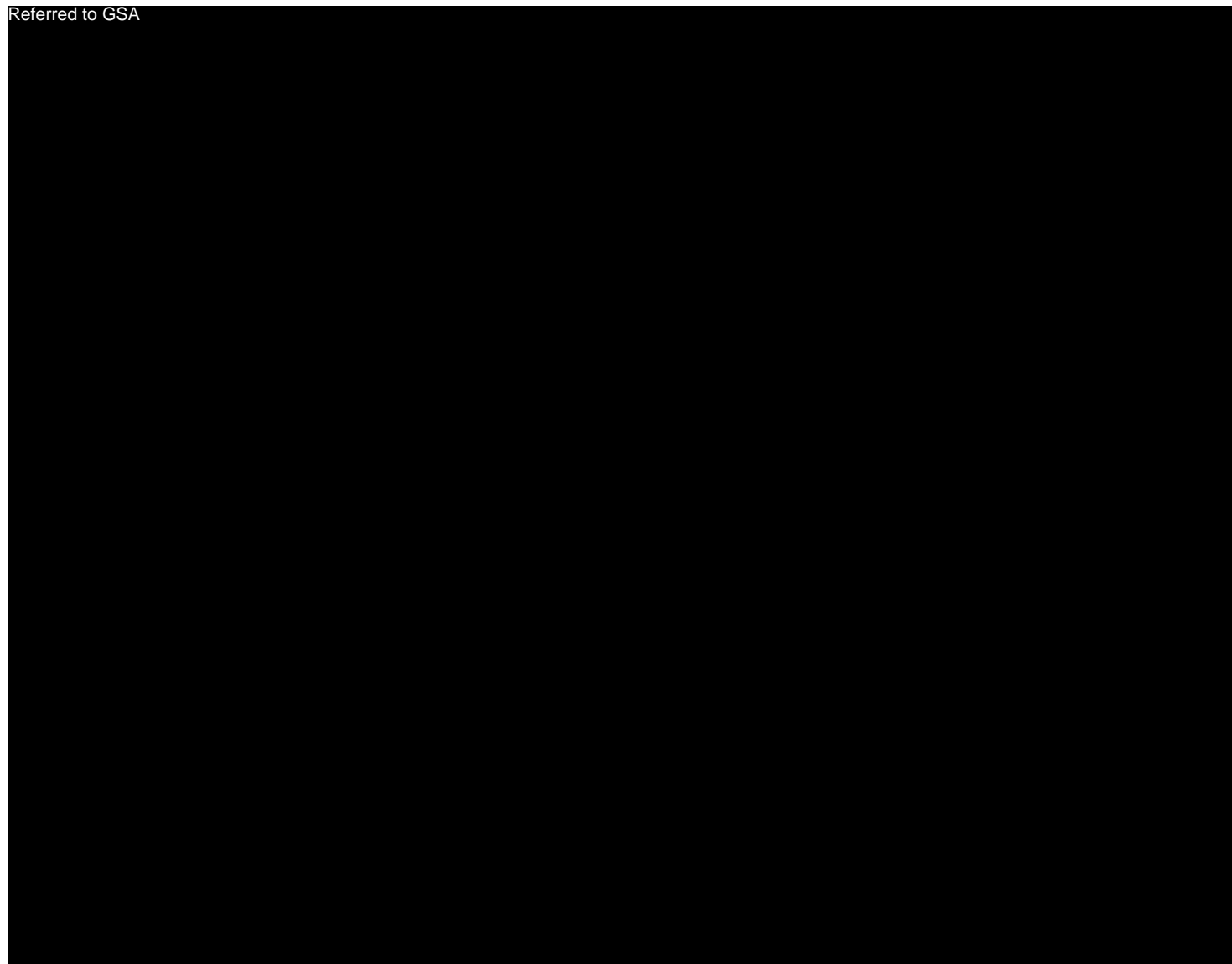


Referred to GSA





Referred to GSA





March 11, 2024

The Honorable Mike Johnson  
Speaker of the House of Representatives  
United States House of Representatives  
H-232, The Capitol  
Washington, DC 20515

Dear Mr. Speaker:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) Fiscal Year 2025 Congressional Budget Justification and Annual Performance Plan and Fiscal Year 2023 Annual Performance Report.

If you require additional information or have questions regarding these materials, please contact OGE's Legal, External Affairs and Performance Branch Chief, Diana J. Veilleux, at 202-482-9203.

Sincerely,

A handwritten signature in black ink that reads "Shelley K. Finlayson". The signature is written in a cursive style.

Shelley K. Finlayson  
Acting Director

Attachment





March 11, 2024

The Honorable Kamala Harris  
President of the Senate  
United States Senate  
S-212, The Capitol  
Washington, DC 20510

Dear Madam President of the Senate:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) Fiscal Year 2025 Congressional Budget Justification and Annual Performance Plan and Fiscal Year 2023 Annual Performance Report.

If you require additional information or have questions regarding these materials, please contact OGE's Legal, External Affairs and Performance Branch Chief, Diana J. Veilleux, at 202-482-9203.

Sincerely,

A handwritten signature in black ink that reads "Shelley K. Finlayson". The signature is written in a cursive, flowing style.

Shelley K. Finlayson  
Acting Director

Attachment



UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 1, 2024

The Honorable James Comer  
Chairman  
Committee on Oversight and Accountability  
United States House of Representatives  
Washington, DC 20515

The Honorable Jamie Raskin  
Ranking Member  
Committee on Oversight and Accountability  
United States House of Representatives  
Washington, DC 20515

Dear Chairman Comer and Ranking Member Raskin:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment





March 1, 2024

The Honorable Gary Peters  
Chairman  
Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510

The Honorable Rand Paul  
Ranking Member  
Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510

Dear Chairman Peters and Ranking Member Paul:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

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Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment





March 1, 2024

The Honorable Mark Green  
Chairman  
Committee on Homeland Security  
United States House of Representatives  
Washington, DC 20515

The Honorable Bennie Thompson  
Ranking Member  
Committee on Homeland Security  
United States House of Representatives  
Washington, DC 20515

Dear Chairman Green and Ranking Member Thompson:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment





March 1, 2024

The Honorable Frank Lucas  
Chairman  
Committee on Science, Space,  
and Technology  
United States House of Representatives  
Washington, DC 20515

The Honorable Zoe Lofgren  
Ranking Member  
Committee on Science, Space,  
and Technology  
United States House of Representatives  
Washington, DC 20515

Dear Chairman Lucas and Ranking Member Lofgren:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

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Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment



UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 1, 2024

The Honorable Maria Cantwell  
Chair  
Committee on Commerce, Science,  
and Transportation  
United States Senate  
Washington, DC 20510

The Honorable Ted Cruz  
Ranking Member  
Committee on Commerce, Science,  
and Transportation  
United States Senate  
Washington, DC 20510

Dear Chair Cantwell and Ranking Member Cruz:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment





UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 1, 2024

The Honorable Jim Jordan  
Chairman  
Committee on the Judiciary  
United States House of Representatives  
Washington, DC 20515

The Honorable Jerrold Nadler  
Ranking Member  
Committee on the Judiciary  
United States House of Representatives  
Washington, DC 20515

Dear Chairman Jordan and Ranking Member Nadler:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment



UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 1, 2024

The Honorable Patty Murray  
Chair  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Susan Collins  
Vice Chair  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Chris Van Hollen  
Chair  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Bill Hagerty  
Ranking Member  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

Dear Chair Murray, Vice Chair Collins, Subcommittee Chair Van Hollen, and Subcommittee Ranking Member Hagerty:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment



UNITED STATES OFFICE OF  
GOVERNMENT ETHICS



March 1, 2024

The Honorable Kay Granger  
Chairwoman  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable Rosa DeLauro  
Ranking Member  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable Steve Womack  
Chairman  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

The Honorable Steny Hoyer  
Ranking Member  
Subcommittee on Financial Services  
and General Government  
Committee on Appropriations  
United States House of Representatives  
Washington, DC 20515

Dear Chairwoman Granger, Ranking Member DeLauro, Subcommittee Chairman Womack, and Subcommittee Ranking Member Hoyer:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment





March 1, 2024

The Honorable Gene Dodaro  
Comptroller General  
Government Accountability Office  
Washington, DC 20548

Dear Comptroller General Dodaro:

I am pleased to transmit to you the United States Office of Government Ethics (OGE) annual FISMA reports, which are being provided pursuant to the Federal Information Modernization Act of 2014 (FISMA), 44 U.S.C. section 3554, and OMB Memorandum M-24-04, *Fiscal Year 2024 Guidance on Federal Information Security and Privacy Management Requirements* (December 4, 2023).

If you require additional information or have questions regarding these materials, please contact Ty Cooper, Chief Information Officer, at (202) 482-9226 or Diana J. Veilleux, Senior Agency Official for Privacy, at (202) 482-9203.

Sincerely,

Shelley K. Finlayson  
Acting Director

Attachment



**From:** [Brenner, Claudine \(HSGAC\)](#)  
**To:** [Grant Anderson](#)  
**Cc:** [Diana Veilleux](#); [Shelley K. Finlayson](#)  
**Subject:** Re: Prehearing Questionnaire and Nomination Schedule Updates  
**Date:** Wednesday, March 27, 2024 11:12:00 AM

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Hi Grant—Yes, emailing the questionnaire is fine (and preferred). It doesn't need to be notarized, just signed and dated. And either a wet or electronic signature is acceptable.

Still working to confirm the hearing date and trying to lock one of the Friday staff interview times. Will update soon!

Claudine

Sent from my iPhone

On Mar 25, 2024, at 6:05 PM, Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)> wrote:

Claudine,

Quick question on the policy questionnaire transmittal – can we send it to you via email? Does it need to be notarized, etc.?

Best,

Grant

Grant Anderson  
Assistant Counsel  
Legal, External Affairs and Performance Branch  
Program Counsel Division  
U.S. Office of Government Ethics  
(202) 482-9318  
[Grant.Anderson@oge.gov](mailto:Grant.Anderson@oge.gov)

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**From:** Shelley K. Finlayson <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Sent:** Friday, March 22, 2024 4:32 PM

**To:** 'Brenner, Claudine (HSGAC)' <(b)(6)> Grant Anderson <ganderso@oge.gov>  
**Cc:** Diana Veilleux <djveille@oge.gov>  
**Subject:** RE: Prehearing Questionnaire and Nomination Schedule Updates

Good afternoon, Claudine –

It is wonderful to hear from you! I just transmitted the final policy questions to Mr. Huitema and spoke to him.

I have confirmed that he is available/does not have any conflicts on any of the mornings (**4/16, 4/17, or 4/18**) you offered for his confirmation hearing.

I also asked about his preference for the staff interview, and he expressed a preference for either of the **Friday 4/12 times (12-1 or 2-3)**.

Mr. Huitema expects to submit his policy questionnaire responses on Monday April 8. Please let me know if you need anything additional from either him or us to lock down the dates and move forward with the process.

Thank you and we look forward to working with you through Mr. Huitema's confirmation process,  
Shelley

Shelley K. Finlayson (she/her)  
Acting Director  
U.S. Office of Government Ethics  
1201 New York Ave NW, Suite 500  
Washington, DC 20005  
(202) 482-9250

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**From:** Brenner, Claudine (HSGAC) <(b)(6)>  
**Sent:** Friday, March 22, 2024 3:28 PM  
**To:** Shelley K. Finlayson <skfinlay@oge.gov>; Grant Anderson <ganderso@oge.gov>  
**Cc:** Diana Veilleux <djveille@oge.gov>  
**Subject:** Prehearing Questionnaire and Nomination Schedule Updates

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Good Afternoon!

I finally have some long-overdue updates to share on moving Mr. Huitema's nomination forward.

First, please see HSGAC's final prehearing questionnaire attached here. There are a few additional questions since the earlier version I shared, and I'll note there has been a small change to Q-51 (which was in the earlier set).

We would like Mr. Huitema to appear at a nomination hearing during the week of April 15-19. Unfortunately, I don't have an exact date just yet since the Committee is still working to lock in a couple of other hearings (incl. negotiating scheduling with the (b) (6) (b) (6)), which could be that week. Would it be possible for Mr. Huitema to keep the **mornings of Tues 4/16, Weds 4/17, and Thurs 4/18** open at this time?

For planning purposes, I'd say we're planning on Weds 4/17 at 10am, but there's a chance it could move one day in either direction. We should know for sure by the end of next week.

As for the staff interview, we'd like to hold it in-person on one of the following dates/times:

- Weds. 4/10, 2pm-3pm
- Thurs. 4/11, 11am-12pm
- Thurs. 4/11, 1pm-2pm
- Fri. 4/12, 12pm-1pm
- Fri. 4/12, 2pm-3pm

Please let me know if Mr. Huitema has any conflicts or preferences among those windows.

Thank you again for your patience, and please pass along my appreciation to Mr. Huitema for bearing with us.

Have a great weekend,  
Claudine

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**Claudine J. Brenner**

Senior Counsel

U.S. Senate Homeland Security & Governmental Affairs Committee

Chairman Gary C. Peters

(b)(6)

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**From:** [Fox, Michael \(HSGAC\)](#)  
**To:** [Grant Anderson](#)  
**Cc:** [Diana Veilleux](#); [Shelley K. Finlayson](#); [Papian, Anthony \(HSGAC\)](#)  
**Subject:** RE: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)  
**Date:** Monday, March 18, 2024 3:18:16 PM

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Hi Grant,

Hope all is well. We'd be happy to meet with Mr. Huitema at the staff level if you're interested. Please let us know what dates/times work well for you.

Best,  
Mike

---

**From:** Scheduling (Sinema) (b)(6)  
**Sent:** Friday, March 15, 2024 12:28 PM  
**To:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Cc:** Diana Veilleux <[djveille@oge.gov](mailto:djveille@oge.gov)>; Shelley K. Finlayson <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>; Papian, Anthony (HSGAC) <(b)(6)> Fox, Michael (HSGAC) <(b)(6)>  
**Subject:** Re: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Hello Grant,

Thank you for your request to meet with Senator Sinema! Unfortunately, the Senator is not available at this time.

If you are interested in a staff level meeting, I am looping in Anthony and Michael, who are the Senator's advisors. Please reach out to them directly.

Please let our office know if we can assist any further!

Thank you,

--

Ethan Sanders  
Deputy Scheduler  
Office of Senator Kyrsten Sinema of Arizona  
(b)(6)  
317 Hart Office Building, Washington, D.C. 20510  
[Sinema.Senate.Gov](http://Sinema.Senate.Gov)

---

**From:** "Scheduling (Sinema)" (b)(6)  
**Date:** Wednesday, January 17, 2024 at 3:19 PM  
**To:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Cc:** Diana Veilleux <[djveille@oge.gov](mailto:djveille@oge.gov)>, "Shelley K. Finlayson" <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Subject:** Re: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Hello Grant,

Thank you for your patience! We are still looking into this request, but I will let you know more about the Senator's schedule shortly. If you have any questions in the meantime, please do not hesitate to reach out!

All the best,

--

Ethan Sanders  
Scheduling and Operations Assistant  
Office of Senator Kyrsten Sinema of Arizona  
(b)(6)  
317 Hart Office Building, Washington, D.C. 20510  
[Sinema.Senate.Gov](http://Sinema.Senate.Gov)

---

**From:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Date:** Friday, January 12, 2024 at 4:02 PM  
**To:** "Scheduling (Sinema)" (b)(6)  
**Cc:** Diana Veilleux <[djveille@oge.gov](mailto:djveille@oge.gov)>, "Shelley K. Finlayson" <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Subject:** RE: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Ethan,

Happy new year!

Wanted to quick follow-up on our courtesy meeting request for Senator Sinema, since our nominee had a meeting earlier this week with Chairman Peters.

Best,

Grant

Grant Anderson  
Assistant Counsel  
Legal, External Affairs and Performance Branch

Program Counsel Division  
U.S. Office of Government Ethics  
(202) 482-9318  
[Grant.Anderson@oge.gov](mailto:Grant.Anderson@oge.gov)

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**From:** Grant Anderson  
**Sent:** Wednesday, November 29, 2023 2:56 PM  
**To:** 'Scheduling (Sinema)' (b)(6)  
**Cc:** Diana Veilleux <[dveille@oge.gov](mailto:dveille@oge.gov)>; Shelley K. Finlayson <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Subject:** RE: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Thanks Ethan!

Grant Anderson  
Assistant Counsel  
Legal, External Affairs and Performance Branch  
Program Counsel Division  
U.S. Office of Government Ethics  
(202) 482-9318  
[Grant.Anderson@oge.gov](mailto:Grant.Anderson@oge.gov)

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**From:** Scheduling (Sinema) (b)(6)  
**Sent:** Wednesday, November 29, 2023 1:24 PM  
**To:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Cc:** Diana Veilleux <[dveille@oge.gov](mailto:dveille@oge.gov)>; Shelley K. Finlayson <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Subject:** Re: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Hello Grant,

Thank you for reaching out regarding a meeting with Senator Sinema! We have received your request and I am checking on the Senator's availability. I will reach out once I have more information.

We appreciate your patience. Please feel free to follow up with questions or concerns and I will do my best to assist you!

Please note, we typically do not schedule more than a week or so in advance, as committee and vote timing are unknown.

Thank you,

--

Ethan Sanders  
Scheduling and Operations Assistant  
Office of Senator Kyrsten Sinema of Arizona  
(b)(6)  
317 Hart Office Building, Washington, D.C. 20510  
[Sinema.Senate.Gov](http://Sinema.Senate.Gov)

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**From:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Date:** Tuesday, November 28, 2023 at 10:54 AM  
**To:** "DeSpain, Mike (Sinema)" <(b)(6)> "Sauder, Courtney (Sinema)" <(b)(6)>, "Scheduling (Sinema)" <(b)(6)>  
**Cc:** Diana Veilleux <[djveille@oge.gov](mailto:djveille@oge.gov)>, "Shelley K. Finlayson" <[skfinlay@oge.gov](mailto:skfinlay@oge.gov)>  
**Subject:** Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Mike and Courtney,

Please see attached for a courtesy meeting request for David Huitema, the nominee for Director of the United States Office of Government Ethics.

Best,

Grant

Grant Anderson  
Assistant Counsel  
Legal, External Affairs and Performance Branch  
Program Counsel Division  
U.S. Office of Government Ethics  
(202) 482-9318  
[Grant.Anderson@oge.gov](mailto:Grant.Anderson@oge.gov)

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**From:** Piccioli, Laura (Sinema) <(b)(6)>  
**Sent:** Tuesday, November 28, 2023 10:12 AM  
**To:** Grant Anderson <[ganderso@oge.gov](mailto:ganderso@oge.gov)>  
**Subject:** Automatic reply: Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Thank you for your email, I am currently out of the office.

For all scheduling requests, please email (b)(6)  
(b)(6), and (b)(6)

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**From:** Grant Anderson  
**Sent:** Tuesday, November 28, 2023 10:11 AM  
**To:** (b)(6) Piccoli email  
**Cc:** Shelley K. Finlayson [skfinlay@oge.gov](mailto:skfinlay@oge.gov); Diana Veilleux [djveille@oge.gov](mailto:djveille@oge.gov)  
**Subject:** Courtesy Meeting Request (Director of U.S. Office of Government Ethics)

Laura,

Please see attached for a courtesy meeting request for David Huitema, the nominee for Director of the United States Office of Government Ethics.

Best,

Grant

Grant Anderson  
Assistant Counsel  
Legal, External Affairs and Performance Branch  
Program Counsel Division  
U.S. Office of Government Ethics  
(202) 482-9318  
[Grant.Anderson@oge.gov](mailto:Grant.Anderson@oge.gov)

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